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## UTILITY **PATENT APPLICATION TRANSMITTAL**

X-1641-2 US Attorney Docket No. First Inventor Glenn A. Baxter Circuitry

Title | Method and Apparatus for Controlling Direct Access to Memory (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EV 000337504 US Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450; Mail Stop Patent Application Alexandria, Virginia 22313-1450 See MPEP chapter 600 concerning utility patent application contents. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) 7. Nucleotide and/or Amino Acid Sequence Submission Specification Total Pages (preferred arrangement set forth below)
- Descriptive title of the Invention (if applicable, all necessary) Computer Readable Copy (CRC) a. - Cross References to Related Applications Specifiation Sequence Listing on: - Statement Regarding Fed sponsored R & D Reference to sequenct listing, a table, or a computer program listing appendix CD-ROM or CD-R (2 copies); or paper - Background of the Invention Statements verifying identity of above copies - Brief Summary of the Invention - Brief Description of the Drawings (if filed) **ACCOMPANYING APPLICATION PARTS** - Detailed Description - Claim(s) 8. Assignment Papers (cover sheet & document(s)) - Abstract of the Disclosure 9. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) Drawing(s) (35 USC 113) [Total Sheets 18 10. English Translation Document (if applicable) 4. Oath or Declaration [Total Pages 01 Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS 11. Citations Newly Executed (original or copy) 12. **Preliminary Amendment** Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Certified Copy of Priority Document(s) (if foreign priority is claimed) **DELETION OF INVENTOR(S)** i. Signed statement attached deleting Nonpublication Request under 35 U.S.C 122 inventor(s) named in the prior application, (b)(2)(B). Applicant must attach form PTO/SB/35 or its equivalent. see 37 CFR 1.63(d)(2) and 1.33(b). 5. **X** Application Data Sheet. See 37 CFR 1.76 16. Other: 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. CORRESPONDENCE ADDRESS *24309* Customer Number or Bar Code Label Correspondence address below (Insert Customer No. or Attach bar code label here Attn: Kim Kanzaki Name Address City State Zip Code Country Telephone 408-879-6149 408-377-6137 Fax

Kim Kanzaki 37,652 Name (Print/Type) Registration No. (Attorney/Agent) Signature April 15, 2004 Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this torm should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

Applicant claims small entity status. See 37 CFR 1.27

Unknown

Unknown

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	Complete if Known		
E TRANSMITTAL	Application Number	Unknown	
for FY 2003	Filing Date	April 15, 2004	
Effective 01/01/2003. Patent fees are subject to annual revision	First Named Inventor	Glenn A. Baxter	
Enecave on one coo. I along gos are subject to annual revision			

**Examiner Name** 

Art Unit

TOTAL AMO	OUNT OF PAYMENT	OF PAYMENT (\$) 1184.00		Attorney Docket No.		X-1641-2 US	
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)				
Deposit Account:		3. ADDITIONAL FEES Large Entity Fee Fee					
Deposit Account Number	24-0040		Code	(\$)	Fee	Description	Fee Paid
Deposit Account	XILINX, II	NC.	1051	130	J	e filing fee or oath	
Name The Commissio	oner is authorized to: (check		1052	50	Surcharge - lat cover sheet.	e provisional filing fee or	
<b>_</b>	·	Credit any overpayments	147	2,520	For filing a request for reexamination		
X Charge any additional fee(s) during the pendency of this application			1812	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		the filing fee	1805	1,840*	Requesting publication of SIR after Examiner action		
	FEE CALCULATION	N .	1251	110	Extension for r	eply within first month	
1. BASIC	FILING FEE		1252	420	Extension for r	eply within second month	
		•	1253	950	Extension for r	eply within third month	
	Large Entity  Fee Fee Fee Description Fee Paid		1254	1,480	Extension for r	eply within fourth month	
	(\$)		1255	2,010	Extension for r	eply within fifth month	
1001	770 Utility filing fee	\$770	1401	330	Notice of Appe	al	
1002 1003	320 Design filing fee 490 Plant filing fee	•	1402	330	Filing a brief in	support of an appeal	
1003	770 Reissue filing fe	90	1403	290	Request for ora	al hearing	
1005	160 Provisional filing		1451	1,510	Petition to insti	tute a public use proceeding	
1	CURTOTAL (1)	(4)	1452	110	Petition to reviv	ve - unavoidable	
SUBTOTAL (1) (\$) 770.00		. ,	1453	1,330	Petition to reviv	ve - unintentional	
2. EXTRA	CLAIM FEES FOR UT		1501	1,240	Utility issue fee	e (or reissue)	
Total Claims	36 -20" = 16	— —	1460	130	Petitions to the	Commissioner	
Indep. Claims	36 -20 - 16 04 - 3" = 1	10 \$200	1807	50	Processing fee	e under 37 CFR 1.17(q)	
Multiple Depend		X 86 = \$86 x = \$86	1806	180	Submission of	Information Disclosure Stmt	
Large Entity	<u> </u>		8021	40	Recording eac property (time:	th patent assignment per s number of properties)	\$40
Fee Fee Code (\$)	Fee Description	n	1809	770	Filing a submit (37 CFR 1.129	ssion after final rejection	
1202 18 1201 86 1203 290	Claims in excess of Independent claim Multiple dependen	s in excess of 3	1810	770		ional invention to be CFR 1.129(b))	
1204 86	**Reissue indepen over original pate	dent claims ent	1801	770	Request for 0	Continued Examination (RCE)	
1205 18 **Reissue claims in excess of 20 and over original patent		Other f	ee (specify)				

SUBMITTED BY			Complete	(if applicable)
Name (Print/Type)	Kim Kanzaki /	Registration No. (Attorney/Agent) 37,652	Telephone	408-879-6149
Signature	Wh		Date	04-15-2004

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

40.00

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

374.00

SUBTOTAL (2)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 GFR 1.17 and 1.27. The information is required to botain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Glenn A. Baxter
Title Method and Apparatus for Controlling Direct Access to Memory Circuitry		
Atty Docket Number		Y-16/1-2 LIS

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

April 15, 2004

Date

Signature

Kim Kanzaki Reg. No. 37,652

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia, 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.